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Spatially Covariant Gravity: perturbative analysis and field transformations

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Reference:

X. Gao, K. Chao (康超) and Z.-B. Yao, PRD, (2019)

X. Gao and Z.-B. Yao, [arXiv: 1806.02811]

The Lagrangians

Why this Lagrangian?

[Xian Gao, PRD, 2014]

$$\xrightarrow{\text{scalar-tensor}} \mathcal{L} \left(\phi, g_{\mu\nu}, {}^{(4)}R_{\mu\nu}; \nabla_{\mu} \right)$$

$$\xrightarrow{\text{ADM}} \mathcal{L} \left(\phi, N, h_{\mu\nu}, {}^{(3)}R_{\mu\nu}; \mathcal{L}_{\vec{n}}, D_{\mu} \right)$$

$$\xrightarrow{\text{unitary gauge}} \mathcal{L} \left(t, N, h_{ij}, {}^{(3)}R_{ij}; \mathcal{L}_{\vec{n}}, \nabla_i \right)$$

$$\xrightarrow{\text{special case}} \mathcal{L} \left(t, N, h_{ij}, {}^{(3)}R_{ij}, \mathcal{L}_{\vec{n}} h_{ij}; \nabla_i \right)$$

$$\xrightarrow{\text{extending}} \mathcal{L} \left(t, N, h_{ij}, {}^{(3)}R_{ij}, \mathcal{L}_{\vec{n}} N, \mathcal{L}_{\vec{n}} h_{ij}; \nabla_i \right)$$

- Spatially
- Covariant
- Gravity with
- **velocity** of lapse function

[Xian Gao, Z.-B. Yao, arXiv:1806.02811]

To the XG theory, DoF is **3**, but to the extended one, the DoF generally is **4**.



Under what **conditions** it's reduced to **3** ?

Hamiltonian analysis

Results

Spatially covariant gravity with velocity of the lapse function:

$$S^{(\text{u.g.})} = \int dt d^3x N \sqrt{h} \mathcal{L}(t, N, h_{ij}, F, K_{ij}, R_{ij}, \nabla_i) \quad F \equiv \mathcal{L}_{\vec{n}} N \quad K_{ij} \equiv \frac{1}{2} \mathcal{L}_{\vec{n}} h_{ij}$$

The degrees of freedom is **3** if the two conditions are satisfied:

$\mathcal{D}(\vec{x}, \vec{y}) = 0$, (Degeneracy condition) \rightarrow Degenerate kinetic matrix

$\mathcal{F}(\vec{x}, \vec{y}) = 0$, (Consistency condition) \rightarrow Existence secondary constraint

Degenerate Lagrangian is **not sufficient** to remove a DoF.

Hamiltonian analysis

The two conditions

$$0 = \mathcal{D}(\vec{x}, \vec{y}) \equiv \frac{\delta^2 S}{\delta F(\vec{x}) \delta F(\vec{y})} - \int d^3 z \int d^3 w \frac{\delta^2 S}{\delta F(\vec{x}) \delta K_{mn}(\vec{z})} \mathcal{G}_{mn,pq}(\vec{z}, \vec{w}) \frac{\delta^2 S}{\delta K_{pq}(\vec{w}) \delta F(\vec{y})}$$

$$\begin{aligned} 0 &= \mathcal{F}(\vec{x}, \vec{y}) \\ &\equiv \frac{1}{N(\vec{y})} \frac{\delta^2 S}{\delta N(\vec{x}) \delta F(\vec{y})} \\ &+ \int d^3 z \int d^3 w \left(\frac{1}{N(\vec{x})} \frac{\delta^2 S}{\delta F(\vec{x}) \delta h_{mn}(\vec{z})} - \frac{\delta}{\delta N(\vec{x})} \left(\frac{1}{2N(\vec{z})} \frac{\delta S}{\delta K_{mn}(\vec{z})} \right) \right) \\ &\times 2N(\vec{z}) \mathcal{G}_{mn,pq}(\vec{z}, \vec{w}) \frac{1}{N(\vec{y})} \frac{\delta^2 S}{\delta K_{pq}(\vec{w}) \delta F(\vec{y})} \\ &+ \int d^3 z \int d^3 w \int d^3 z' \int d^3 w' \frac{1}{N(\vec{x})} \frac{\delta^2 S}{\delta F(\vec{x}) \delta K_{p'q'}(\vec{w}')} 2\mathcal{G}_{p'q',mn}(\vec{w}', \vec{z}) \\ &\times N(\vec{z}) \frac{\delta^2 S}{\delta h_{mn}(\vec{z}) \delta K_{pq}(\vec{w})} \mathcal{G}_{pq,m'n'}(\vec{w}, \vec{z}') \frac{1}{N(\vec{y})} \frac{\delta^2 S}{\delta K_{m'n'}(\vec{z}') \delta A(\vec{y})} - (\vec{x} \leftrightarrow \vec{y}) \end{aligned}$$

Concrete examples

Quadratic case

Quadratic Lagrangian (4 DoF) :

$$\mathcal{L}^{(\text{quad})} = a_1 K + a_2 F + b_1 K_{ij} K^{ij} + b_2 K^2 + c_1 K F + c_2 F^2 + \mathcal{V}$$

where $a_1 \sim c_2$ are the functions of (t, N, X)

$$\mathcal{D}(\vec{x}, \vec{y}) = 0 \Rightarrow (b_1 + 3b_2) c_2 - \frac{3}{4} c_1^2 = 0$$

$$\mathcal{F}(\vec{x}, \vec{y}) = 0 \Rightarrow \frac{\partial a_2}{\partial X} - \frac{3}{2} \frac{c_1}{b_1 + 3b_2} \frac{\partial a_1}{\partial X} = 0 \quad \text{and} \quad \frac{\partial c_1}{\partial X} - \frac{c_1}{b_1 + 3b_2} \frac{\partial (b_1 + 3b_2)}{\partial X} = 0$$

Quadratic Lagrangian (3 DoF) :

$$\mathcal{L}^{(\text{quad})} = a_1 (K + \gamma F) + \alpha F + b_1 \left(K_{ij} K^{ij} - \frac{1}{3} K^2 \right) + \beta (K + \gamma F)^2 + \mathcal{V}$$

Where a_1 and b_1 are the general functions of $(t, N, \partial_i N)$ while α and γ are the functions of (t, N) only.

Perturbative analysis

Linear perturbations

Quadratic Lagrangian (4 DoF) :

$$\mathcal{L}^{(\text{quad})} = a_1 K + a_2 F + b_1 K_{ij} K^{ij} + b_2 K^2 + c_1 KF + c_2 F^2 + \mathcal{V}$$

The homogeneous and isotropic background:

$$ds^2 = - (e^{2A} - g^{ij} B_i B_j) dt^2 + 2a B_i dt dx^i + a^2 g_{ij} dx^i dx^j$$

Quadratic order action of scalar mode:

$$\begin{aligned} & S_2^S [\zeta, A, B] \\ = & \int dt \frac{d^3 k}{(2\pi)^3} a^3 \left(\mathcal{C}_{\zeta^2} \dot{\zeta}^2 + \mathcal{C}_{\zeta \dot{A}} \dot{\zeta} \dot{A} + \mathcal{C}_{\dot{A}^2} \dot{A}^2 - \mathcal{C}_{\xi B} \dot{\zeta} \frac{\partial^2 B}{a} - \mathcal{C}_{AB} \dot{A} \frac{\partial^2 B}{a} + \mathcal{C}_{B^2} \frac{(\partial^2 B)^2}{a^2} \right. \\ & \left. + \mathcal{C}_{\zeta^2} \zeta^2 + \mathcal{C}_{\zeta A} \zeta A + \mathcal{C}_{\zeta \dot{A}} \zeta \dot{A} + \mathcal{C}_{\dot{A}^2} A^2 - \mathcal{C}_{AB} A \frac{\partial^2 B}{a} \right) \end{aligned}$$

Perturbative analysis

Linear perturbations

Solving the auxiliary field B by its EoM:

$$C_{\xi B} \dot{\zeta} + C_{\dot{A} B} \dot{A} + C_{A B} A - 2C_{B^2} \frac{\partial^2 B}{a} = 0$$

if $C_{B^2} = b_1 + b_2 = 0$

$$S_2^S[\zeta, A] = \int dt \frac{d^3 k}{(2\pi)^3} a^3 \left(C_{\dot{\zeta}^2} \dot{\zeta}^2 + C_{\dot{\zeta} \dot{A}} \dot{\zeta} \dot{A} + C_{\dot{A}^2} \dot{A}^2 + C_{\zeta^2} \zeta^2 + C_{\zeta \dot{A}} \zeta \dot{A} + C_{\zeta A} \zeta A + C_{A^2} A^2 \right)$$

if $C_{B^2} = b_1 + b_2 \neq 0$

$$S_2^S[\zeta, A] = \int dt \frac{d^3 k}{(2\pi)^3} a^3 \left(\mathcal{D}_{\dot{\zeta}^2} \dot{\zeta}^2 + \mathcal{D}_{\dot{\zeta} \dot{A}} \dot{\zeta} \dot{A} + \mathcal{D}_{\dot{A}^2} \dot{A}^2 + \mathcal{D}_{\zeta^2} \zeta^2 + \mathcal{D}_{\zeta \dot{A}} \zeta \dot{A} + \mathcal{D}_{\zeta A} \zeta A + \mathcal{D}_{A^2} A^2 \right)$$

Perturbative analysis

Linear perturbations

Degenerate Hessian matrix:

$$\text{if } C_{B^2} = b_1 + b_2 = 0$$

$$\det \begin{pmatrix} C_{\dot{\zeta}^2} & \frac{1}{2}C_{\dot{\zeta}\dot{A}} \\ \frac{1}{2}C_{\dot{\zeta}\dot{A}} & C_{\dot{A}^2} \end{pmatrix} = 3(b_1 + 3b_2)c_2 - \frac{9}{4}c_1^2 = 0$$

$$\text{if } C_{B^2} = b_1 + b_2 \neq 0$$

$$\det \begin{pmatrix} D_{\dot{\zeta}^2} & \frac{1}{2}D_{\dot{\zeta}\dot{A}} \\ \frac{1}{2}D_{\dot{\zeta}\dot{A}} & D_{\dot{A}^2} \end{pmatrix} = \frac{2b_1}{3(b_1 + b_2)} \left(3(b_1 + 3b_2)c_2 - \frac{9}{4}c_1^2 \right) = 0$$

Degeneracy condition:

$$(b_1 + 3b_2)c_2 - \frac{3}{4}c_1^2 = 0 \Leftrightarrow \mathcal{D}(\vec{x}, \vec{y}) = 0$$

Perturbative analysis

Linear perturbations

Degeneracy condition: $(b_1 + 3b_2) c_2 - \frac{3}{4} c_1^2 = 0 \Rightarrow \tilde{\zeta} \equiv \zeta + \frac{1}{3} \gamma A$

$$S_2^S [\tilde{\zeta}, A] = \int dt \frac{d^3 k}{(2\pi)^3} a^3 \left(\mathcal{D}_{\dot{\zeta}^2} \dot{\zeta}^2 + \mathcal{C}_{\zeta^2} \zeta^2 + \mathcal{F}_{\dot{\zeta} A} \dot{\zeta} A + \mathcal{F}_{\zeta A} \zeta A + \mathcal{F}_{A^2} A^2 \right)$$

We remove the extra scalar mode **A only** by the **degeneracy condition** at the **linear** perturbations level.

What about the **consistency condition**?

Perturbative analysis

Cubic order perturbations

Cubic order action of scalar mode

$$S_3^S [\tilde{\zeta}, A, B] \supset \int dt d^3x \dot{A} \partial_i A \partial^i A \frac{a}{4} \left[2 \frac{\partial a_2}{\partial X} - \frac{3c_1}{b_1 + 3b_2} \frac{\partial a_1}{\partial X} \right. \\ \left. + 6 \left(\frac{\partial c_1}{\partial X} - \frac{c_1}{b_1 + 3b_2} \frac{\partial (b_1 + 3b_2)}{\partial X} \right) H \right] \\ \tilde{\zeta} = \zeta + \gamma A + \frac{1}{2} \left(\gamma + \frac{\partial \gamma}{\partial N} \right) A^2$$

$$\frac{\partial a_2}{\partial X} - \frac{3}{2} \frac{c_1}{b_1 + 3b_2} \frac{\partial a_1}{\partial X} = 0, \quad \frac{\partial c_1}{\partial X} - \frac{c_1}{b_1 + 3b_2} \frac{\partial (b_1 + 3b_2)}{\partial X} = 0 \Rightarrow \mathcal{F}(\vec{x}, \vec{y}) = 0$$

We need to impose the **consistency condition** at the **cubic order perturbations** in order to kill the extra scalar mode A.

There is no extra mode in **arbitrarily high orders** in perturbations.

Perturbative analysis

Inhomogeneous background

The inhomogeneous background:

$$ds^2 = - (\bar{N}(\vec{x}))^2 dt^2 + (a(t))^2 \bar{g}_{ij}(\vec{x}) dx^i dx^j$$

The quadratic order Lagrangian:

$$\begin{aligned} \mathcal{L}_2(A, B, \zeta) \supset & -c_1 a^2 \bar{\nabla}^2 B \dot{A} - 2c_2 a^2 \partial^i B \dot{A} \\ & + \bar{N} a \left(\frac{\partial a_2}{\partial X} \bar{N} + 3H \frac{\partial c_1}{\partial X} \right) \partial_i \bar{N} \partial^i A \dot{A} \\ & + 2c_2 a^3 \bar{N} \dot{A}^2 + 6c_1 a^3 \dot{A} \dot{\zeta} + 6(b_1 + 3b_2) \frac{a^3}{\bar{N}} \dot{\zeta}^2 \end{aligned}$$

The quadratic order Lagrangian satisfied the **degeneracy condition**:

$$\begin{aligned} \mathcal{L}_2(A, B, \tilde{\zeta}) \supset & \frac{1}{2} \dot{A} \partial_i A \partial^i \bar{N} \bar{N} a \left[\left(2 \frac{\partial a_2}{\partial X} - \frac{3c_1}{b_1 + 3b_2} \frac{\partial a_1}{\partial X} \right) \bar{N} \right. \\ & \left. + 6 \left(\frac{\partial c_1}{\partial X} - \frac{c_1}{b_1 + 3b_2} \frac{\partial (b_1 + 3b_2)}{\partial X} \right) H \right] \end{aligned}$$

Consistency condition

Field transformations

The Lagrangian

General quadratic Lagrangian (4 DoF):

$$\begin{aligned} \mathcal{L}^{(\text{quad})} = & a_1 K + a_2 F + a_3 X^{ij} K_{ij} \\ & + b_1 K_{ij} K^{ij} + b_2 K^2 + b_3 X^{ij} K_{ij} K + b_4 X^{ij} K_i^k K_{jk} + b_5 (X^{ij} K_{ij})^2 \\ & + c_1 KF + c_2 F^2 + c_3 X^{ij} K_{ij} F + \mathcal{V} \end{aligned}$$

$$\mathcal{D}(\vec{x}, \vec{y}) = 0, \quad (\text{Degeneracy condition})$$

$$\mathcal{F}(\vec{x}, \vec{y}) = 0, \quad (\text{Consistency condition})$$

General quadratic Lagrangian (3 DoF):

$$\begin{aligned} \mathcal{L}^{(\text{quad})} = & b_1 \hat{K}_{ij} \hat{K}^{ij} + \hat{b}_2 (K + \gamma F)^2 + \hat{b}_3 X^{ij} \hat{K}_{ij} (K + \gamma F) \\ & + b_4 X^{ij} \hat{K}_i^k \hat{K}_{jk} + b_5 (X^{ij} \hat{K}_{ij})^2 + \mathcal{V} \end{aligned}$$

Field transformations

The Lagrangian

A special Lagrangian without F (3 DoF):

$$\begin{aligned} \mathcal{L}^{(\text{ori})} = & b_1 \hat{K}_{ij} \hat{K}^{ij} + b_2 K^2 + b_3 X^{ij} \hat{K}_{ij} K \\ & + b_4 X^{ij} \hat{K}_i^k \hat{K}_{jk} + b_5 \left(X^{ij} \hat{K}_{ij} \right)^2 + \mathcal{V} \end{aligned}$$

Field transformations:

$$h_{ij} \rightarrow e^{2\omega} h_{ij}, \quad N \rightarrow e^\lambda N, \quad N^i \rightarrow N^i$$

$$\Rightarrow X_{ij} \rightarrow e^{2\lambda} \left(1 + N \frac{\partial \lambda}{\partial N} \right)^2 X_{ij}, \quad K_{ij} \rightarrow e^{2\omega - \lambda} \left(K_{ij} + h_{ij} \frac{\partial \omega}{\partial N} F \right)$$

$$\begin{aligned} \mathcal{L}^{(\text{ori})} \rightarrow \mathcal{L}^{(\text{quad})} \equiv & b_1 \hat{K}_{ij} \hat{K}^{ij} + \hat{b}_2 (K + \gamma F)^2 + \hat{b}_3 X^{ij} \hat{K}_{ij} (K + \gamma F) \\ & + b_4 X^{ij} \hat{K}_i^k \hat{K}_{jk} + b_5 \left(X^{ij} \hat{K}_{ij} \right)^2 + \mathcal{V} \end{aligned}$$

Summary

- Spatially covariant gravity with **velocity** of the lapse function. (The **most general scalar-tensor** theory beyond Horndeski at this moment!)
- In homogeneous and isotropic background, **Degeneracy** condition is needed in **linear perturbation** and **Consistency** condition is needed in **cubic order perturbation**.
- In inhomogeneous background, **Degeneracy** condition and **Consistency** condition are needed in the **linear perturbation**.
- Different theories can be related by **field transformations**.

Thank you!